



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Feng Shi et al.
Serial No. : 10/789,804
Filed : February 27, 2004
Title : ADAPTIVE OPTICAL TRANSPONDER

Art Unit : Unknown
Examiner : Unknown

MAIL STOP MISSING PARTS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed May 25, 2004 (copy enclosed), applicant as a large entity submits herewith the following:

- ☒ Payment of the basic filing fee of \$790;
- ☒ Payment of the additional/multiple dependent claims fees of \$312;
- ☒ Payment of the surcharge of \$130 for late filing of the basic filing fee and/or declaration;
- ☒ Payment of the extension of fee of \$980 for three months pursuant to 37 CFR §1.136, applicant hereby petitions that the period for response to the action dated May 25, 2004, be extended to and including October 25, 2004.
- ☒ A check in the total amount of \$2212 is attached;
- ☒ Four executed Combined Declaration and Power of Attorney forms signed in counter-part in compliance with 37 CFR §1.63;

It is understood that this perfects the application and no additional papers or filing fees

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit

OCT. 20. 2004

Signature

Emma Durrell

Typed or Printed Name of Person Signing Certificate

Applicant : Feng Shi et al.
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Filed : February 27, 2004
Page : 2 of 2

Attorney's Docket No.: 13854-065001

are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 14 October, 2004



Brian J. Gustafson
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50241756.doc



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/789,804	02/27/2004	Feng Shi	13854-065001

26181
 FISH & RICHARDSON P.C.
 3300 DAIN RAUSCHER PLAZA
 MINNEAPOLIS, MN 55402



CONFIRMATION NO. 5657

FORMALITIES LETTER



OC000000012763621

Date Mailed: 05/25/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$312 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1212 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$312

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~~01 FC:1253 530.00 OP~~

10/27/2004 EABRAHAI 00000125 061050 10789804
 02 FC:1051 130.00 OP
 03 FC:1202 54.00 OP
 04 FC:1201 264.00 OP
 05 FC:1001 6.00 DA 784.00 OP

- \$258 for 3 independent claims over 3.
- \$54 for 3 total claims over 20.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the citizenship of each inventor.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE